



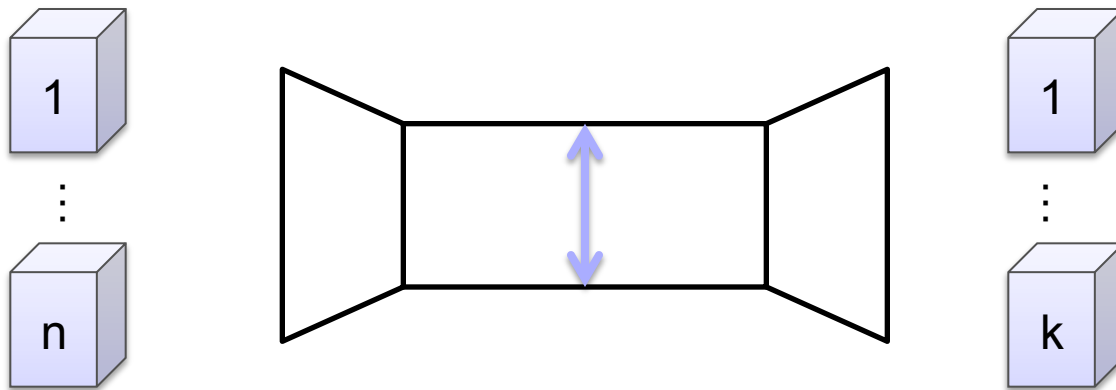
# Information Network I

## TCP 2/2

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# Transport protocol challenges

- With a number of unknown parameters:
  - Number of active communications
  - Bottleneck bandwidth
  - Error rate
- how can we accommodate as much communications as possible, without collapsing network itself?



Key ideas: probing, estimation, self-policing, macro-level stability

# Flow control and congestion control: Competition and Arbitration of Network Resource

## ■ Flow control

- Absorb difference of transmission rate
- Recovery from sequence error
- Recovery from duplication, packets drop and bit error

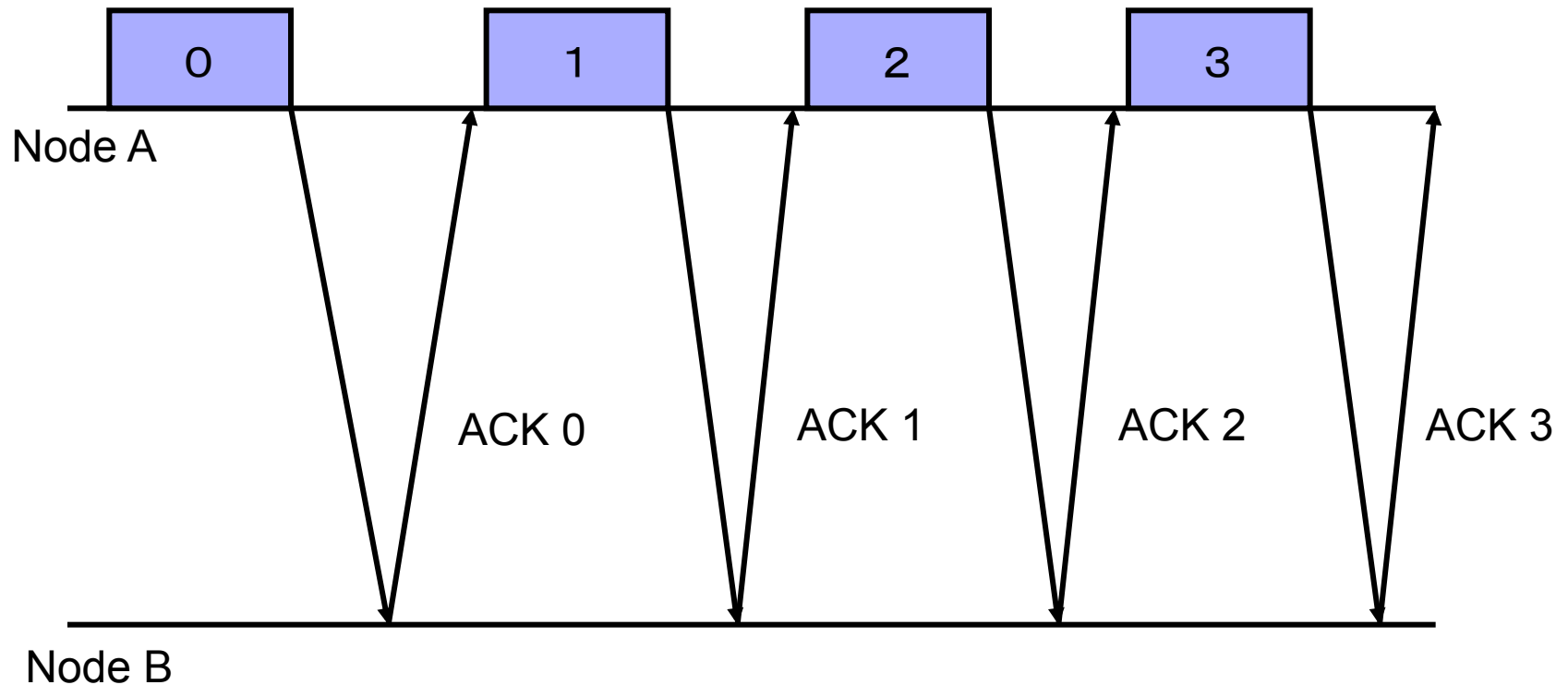
## ■ Congestion control

- Sharing the bandwidth while suppressing the congestion
- Fair sharing of bandwidth

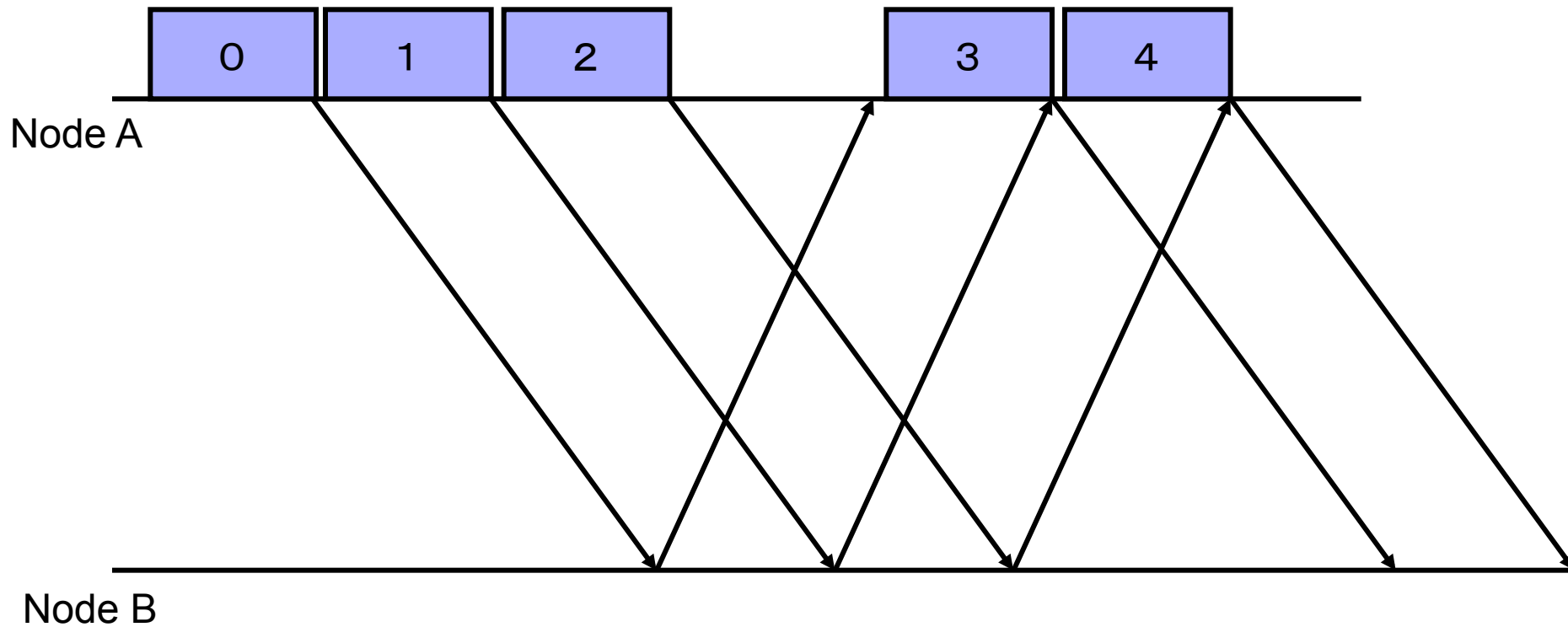
# Flow Control

- Stop-and-Wait
- Go Back n
  
- Various methods exist
  - Bandwidth advertisement from the network
  - Bandwidth estimation at individual hosts
  
  - ARQ (Adaptive Repeat reQuest)

# Stop-and-Wait



# Go Back n



# Characteristics of TCP Flow Control

- End to end
  - No global assignment of resource
  - Estimate available bandwidth at individual hosts
  - Routers do not explicitly allocate resource
    - Implicit signaling through packet drops
- Scalable
  - Host-based autonomous method has better scalability, because it doesn't need state management inside network.
    - Autonomous → Less state management → Scalable



# Questions?



# TCP: key characteristics

- Very simple algorithm
  - Macroscopic self-stabilization
- TCP doesn't assume the presence of greedy nodes.
  - Elimination of global control system
  - Reject the idea of intermediate policing system
- Modest performance across almost all data-links
  - As opposed to optimal performance in specific condition
- Stepwise improvements over 30 years

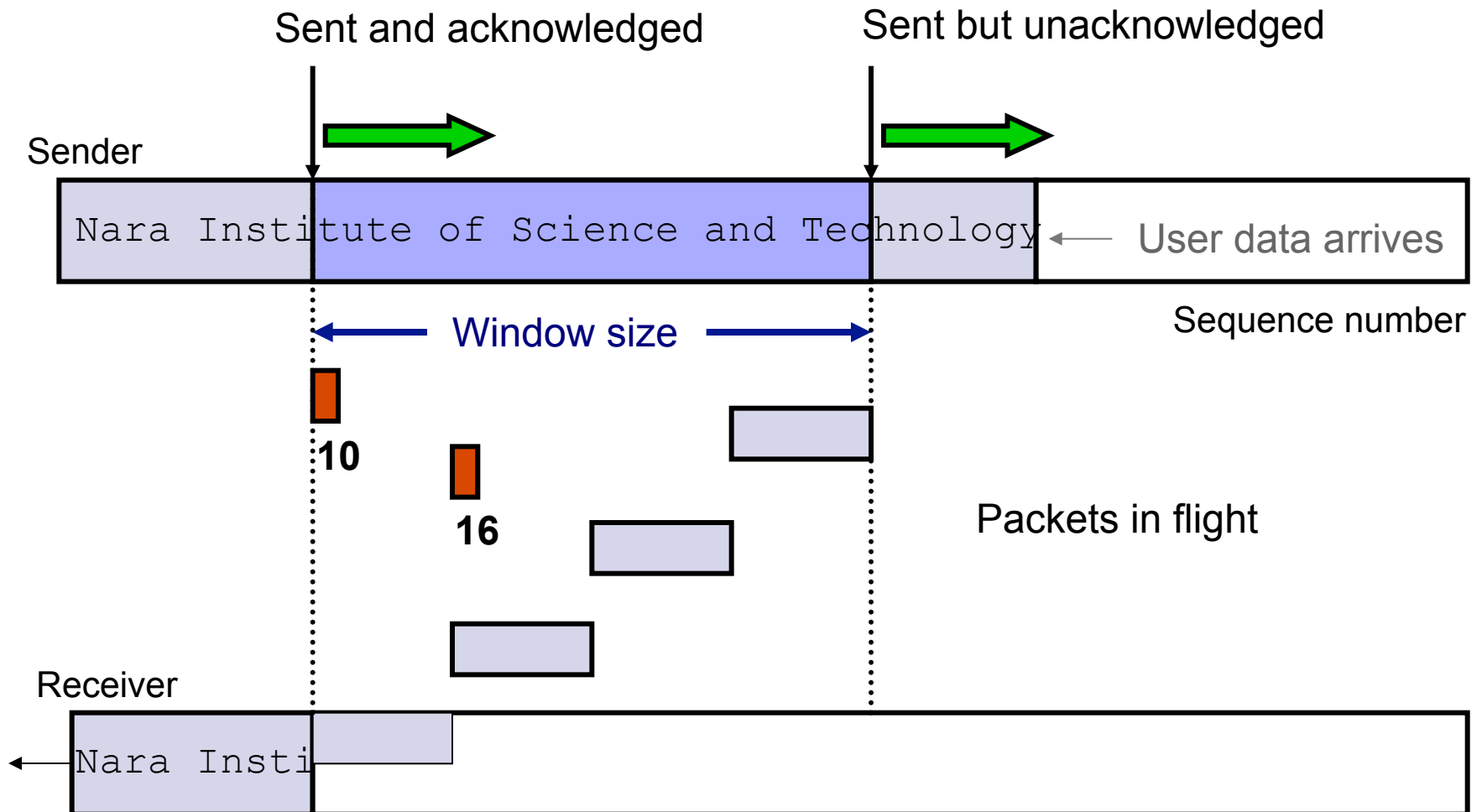
# Flow Control on TCP

- Control available bandwidth
  - Sliding window
- Using sequence number, not packet number
  - Window size
- Control transmission interval of packets
  - ACK clocking
- Miscellaneous
  - Error detection with TCP checksum
  - Packet drop detection with duplicate ACK, timeout

# ACK clocking

- Demonstration by nam
- ACK clocking
- Duplicate ACK

# Sliding window





# Questions?

# Congestion Control on TCP

- Fair-share model: fair distribution of bandwidth
  - End to end
- Increase and decrease of window size
  - additive increase
  - multiplicative decrease
    - AIMD is known to be self-stabilizing (R. Jain, et al., “Analysis of the increase and decrease algorithms for congestion avoidance in computer networks”, 1989)
- Switch increasing strategy of window size
- Detect congestion through packet drops

# Fair-share

- Demo by nam

# Increasing Window size

- TCP switches increasing strategy of congestion window (cwnd) by slow start threshold (ssthresh)

(Outline of the algorithm)

- On receiving an ACK:

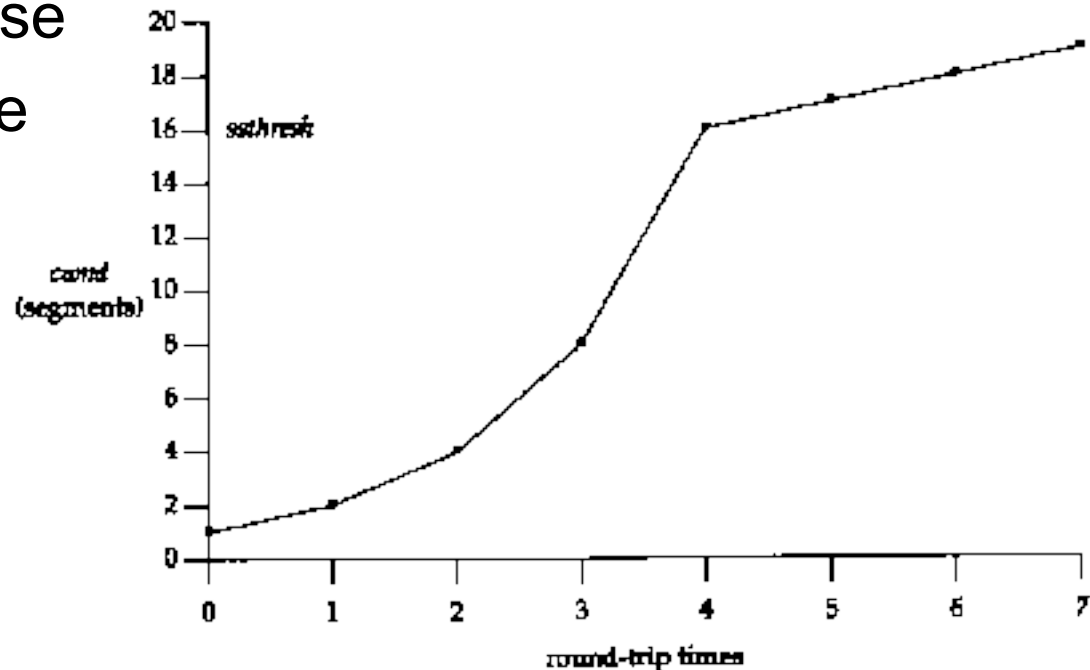
```
if (cwnd < ssthresh) {  
    /* slow start: exponential increase */  
    cwnd += 1;  
} else {  
    /* congestion avoidance: additive increase */  
    cwnd += 1 / cwnd;  
}
```



# Increasing Window size

- Slow start
  - exponential increase
- Congestion avoidance
  - additive increase

Source: TCP/IP Illustrated, Vol.1



- Effectiveness:

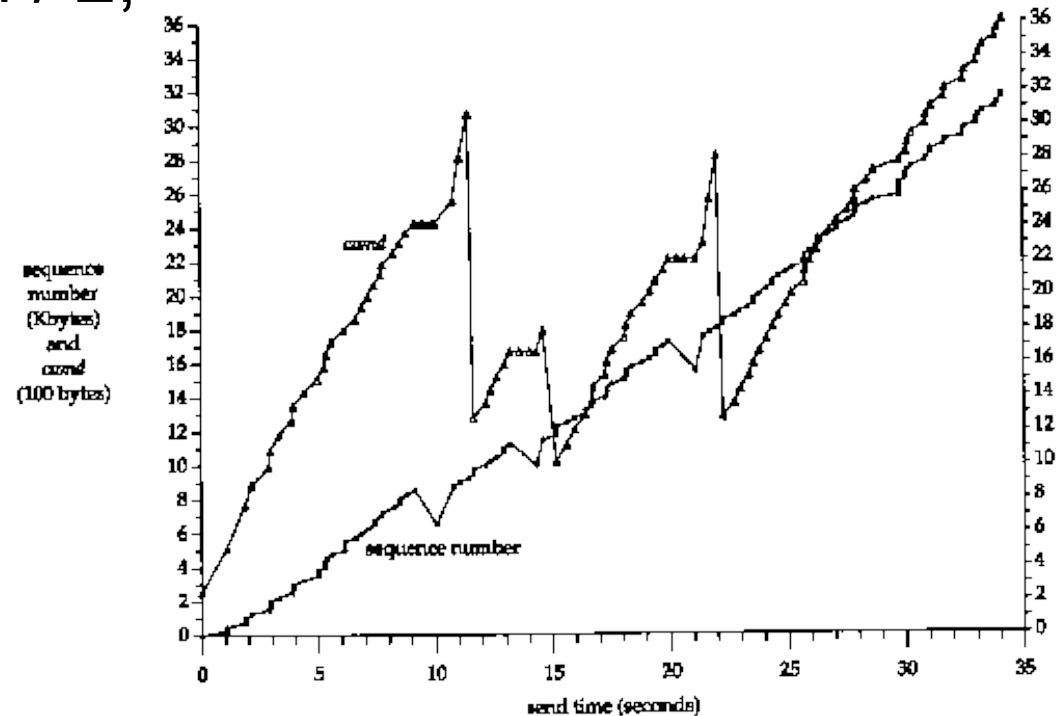
see V. Jacobson, "Congestion Avoidance and Control",  
SIGCOMM'88.

# Decreasing Window size

(Outline of algorithm )

- On detecting packet drop:  
     $ssthresh = cwnd / 2;$   
    if (timeout) {  
         $cwnd = 1;$   
    }

Source: TCP/IP Illustrated, Vol.1





# Questions?

# Packet drop

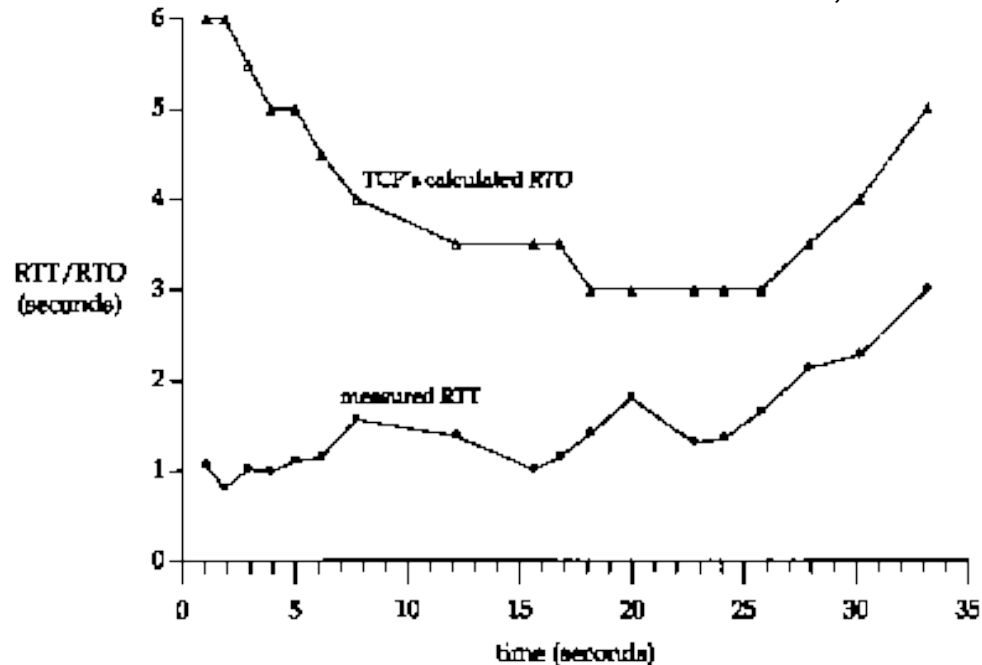
- Detection with 2 methods:
  - Duplicate ACK
  - Retransmission Time Out (RTO)
  
- How do I judge the time out?
  - RTO ~ RTT Estimator

# RTO Calculation

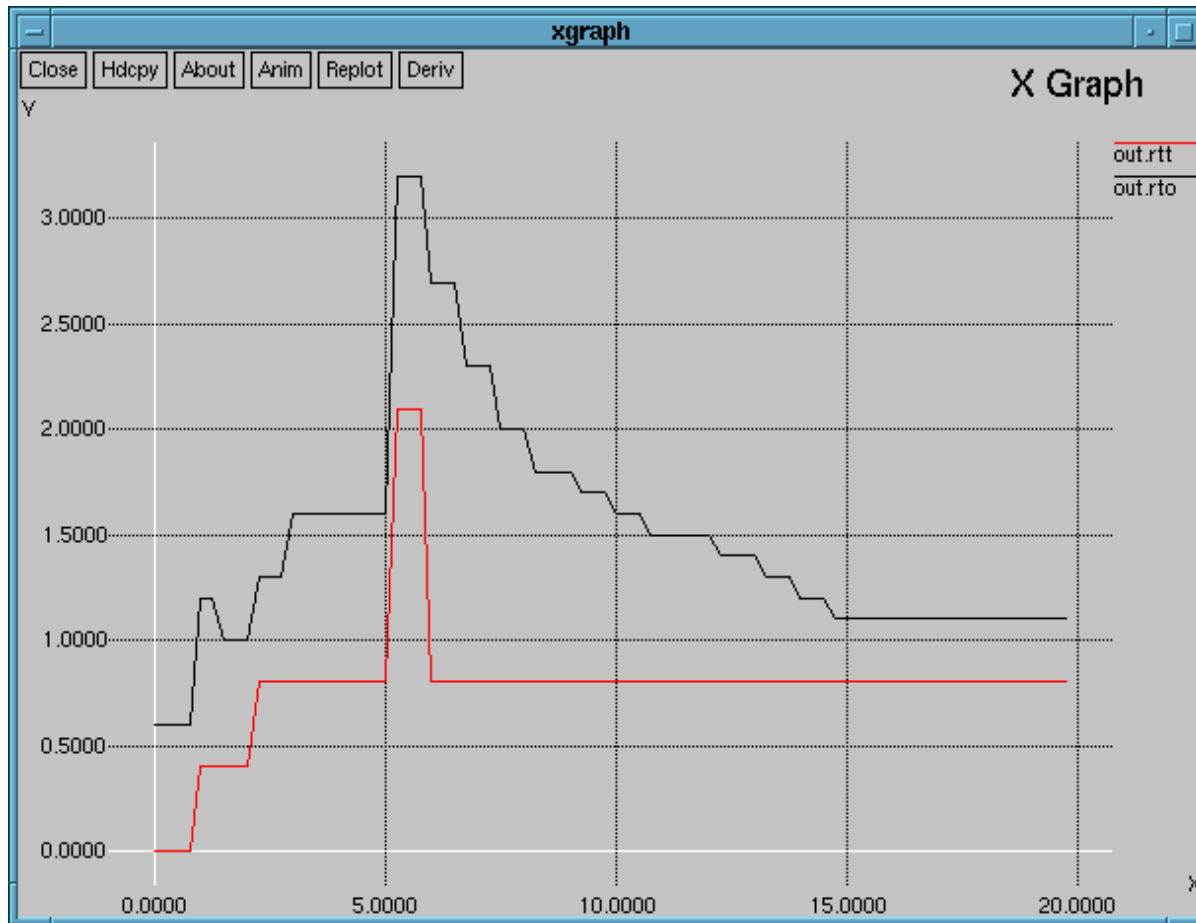
- $Err = M - A$   
 $A \leftarrow A + gErr$   
 $D \leftarrow D + h(|Err| - D)$   
 $RTO = A + 4D$

- A: smoothed RTT
- D: smoothed mean deviation
- g: gain for the average (1/8)
- h: gain for the deviation (1/4)

Source: TCP/IP Illustrated, Vol.1



# RTO calculation



Source: A Quick Tour Around TCP,  
<http://web.eecs.utk.edu/~dunigan/tcptour/>

# Loss sensitivity

- Demo by nam
- Timeout
- Congestion avoidance

# More topics on TCP

- Fast retransmit
- Fast recovery (RFC3782)
- Selective Acknowledgment (SACK) (RFC3517)
- TCP Friendly Rate Control (TFRC) (RFC3448)
- Explicit Congestion Notification (ECN) (RFC3168)
  
- Interaction with RED
- TCP extensions for wireless links
- ...



# TCP enhancements

- Improvements of algorithm:
  - Reno
  - NewReno
  - SACK
  - ...
- 2 types of TCP extensions exist:
  - Optimal performance in specific condition
    - → many academic papers, but you don't need to pay attention to these papers
  - Improved performance in various conditions
    - → standardization in IETF
- Vendor-specific tweaks may result in degradation



# Questions?

# Conclusion

- Flow Control
  - Stop-and-Wait
  - Go back n
- Flow Control on TCP
  - Sliding window
- Congestion Control on TCP
  - Slow start
  - Congestion avoidance

# Assignment

- With the provided VM image, pick a sluggish website and analyze its root cause with tcpdump or wireshark.
- Due: 5/23 17:00 JST
- Post to: A3F Internet Engineering Lab